



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/766,953	01/30/2004	Hiroataka Oomori	50212-571

20277  
 MCDERMOTT WILL & EMERY LLP  
 600 13TH STREET, N.W.  
 WASHINGTON, DC 20005-3096



CONFIRMATION NO. 2498

## FORMALITIES LETTER



\*OC000000012939749\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

08/05/2004 AOSMAN1 00000024 500417 10766953

01 FC:1001 770.00 DA  
 02 FC:1051 130.00 DA

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

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• ✓ • A copy of this notice MUST be returned with the reply.



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Packet No.: 50212-571

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
	:	
Hiroataka OOMORI	:	Confirmation Number: 2498
	:	
Serial No.: 10/766,953	:	Group Art Unit: 2633
	:	
Filed: January 30, 2004	:	Examiner:
	:	
For: OPTICAL TRANSMITTER	:	

**RESPONSE TO NOTICE  
OF MISSING PARTS OF APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Missing Parts of Application dated June 14, 2004, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$770.00
3. Late Filing Fee Surcharge of \$130.00
4. Information Disclosure Statement & PTO Form 1449 (w/2 References)
5. Formal Drawings (5 Pages)
6. Specification (17 Pages)
7. Certified Priority Documents (2)
8. Assignment and recordation fee of \$40.00 (On Separate Postcard)

10/766,953

▪ Please charge Deposit Account No. 500417 in the amount of \$900.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP



Arthur J. Steiner

Registration No. 26,106

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
202.756.8000 AJS:gav  
Facsimile: 202.756.8087  
**Date: August 4, 2004**



Docket No.: 50212-571

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
	:	
Hiroataka OOMORI	:	Confirmation Number: 2498
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Serial No.: 10/766,953	:	Group Art Unit: 2633
	:	
Filed: January 30, 2004	:	Examiner:
	:	
For: OPTICAL TRANSMITTER	:	

**TRANSMITTAL OF FORMAL DRAWINGS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

At the time the above application was filed, informal drawings were presented with the application.

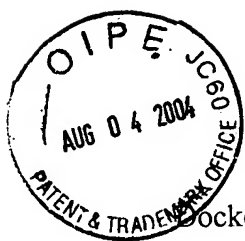
Submitted herewith are 5 sheets of the formal drawings.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP

  
Arthur J. Steiner  
Registration No. 26,106

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**Date: August 4, 2004**



Socket No.: 50212-571

**PATENT**

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	:	
Filed: January 30, 2004	:	Examiner:
	:	
For: OPTICAL TRANSMITTER	:	

**TRANSMITTAL OF SPECIFICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

At the time the above application was filed, a facsimile copy of the specification was presented with the application.

Submitted herewith are 17 sheets of the specification.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP

Arthur J. Steiner  
Registration No. 26,106

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Washington, DC 20005-3096  
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